

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: **Ross C. Berryhill et al.**

Application No./Patent No.: **10/803,396**

Filed/Issue Date: **March 18, 2004**

Entitled: **SYSTEM FOR DIAGNOSING REAGENT SOLUTION QUALITY**

Cummins Filtration IP, Inc., a **corporation**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United Patent States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Ross C. Berryhill and Gregory R. White To: Fleetguard, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 015113, Frame 0236, or for which a copy thereof is attached.

2. From: Fleetguard, Inc. To: Cummins Filtration Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: Cummins Filtration Inc. To: Cummins Filtration IP, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/ Jam/
Signature

Jeffrey A. Michael

Printed or Typed Name

Attorney of Record

Title

July 9, 2010

Date

317-231-7382

Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**State of Indiana
Office of the Secretary of State**

CERTIFICATE OF AMENDMENT

of

FLEETGUARD, INC.

I, TODD ROKITA, Secretary of State of Indiana, hereby certify that Articles of Amendment of the above For-Profit Domestic Corporation have been presented to me at my office, accompanied by the fees prescribed by law and that the documentation presented conforms to law as prescribed by the provisions of the Indiana Business Corporation Law.

The name following said transaction will be:

CUMMINS FILTRATION INC.

NOW, THEREFORE, with this document I certify that said transaction will become effective Wednesday, May 24, 2006.



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, May 24, 2006.

A handwritten signature in black ink that reads "Todd Rokita".

TODD ROKITA,
SECRETARY OF STATE

ASSIGNMENT

For and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Cummins Filtration Inc. an Indiana corporation, having its principal place of business at 500 Jackson Street, Columbus, IN 47201 (hereinafter "Assignor"), hereby assigns, sells, and sets over to Cummins Filtration IP, Inc., a Delaware corporation, having its principal place of business at 1400-73rd Avenue NE, Minneapolis, Minnesota 55432 (hereinafter "Assignee"), Assignor's entire right, title, and interest in, to, and under the following patent application:

U.S. Serial No.	Filed	Title
10/803396	March 18, 2004	SYSTEM FOR DIAGNOSING REAGENT SOLUTION QUALITY

and any Letters Patent which issue thereon, and any and all reissues, divisions, continuations, continuations-in-part, or extensions of such Letters Patent; and any and all Letters Patent of the United States and other countries arising therefrom, and any or all inventions disclosed in the above-identified patent applications, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which any of such Letters Patent may be granted, as fully and entirely as the same would have been held by Assignor had this assignment not been made.

IN WITNESS WHEREOF, Assignor has caused this ASSIGNMENT to be signed by a duly authorized officer.

July, 9 2010

CUMMINS FILTRATION INC.

By: Kevin Westerson

Printed Name: Kevin Westerson

Title: Executive Engineer

STATE OF)

) SS:

COUNTY OF)

Subscribed and sworn to before me, a Notary Public, in and for said County and State, this 9 day of July, 2010.

Witness my hand and Notarial Seal this 9 day of July, 2010.

Christina Tolliver
Notary Public

CHRISTINE TOLLIVER

Printed

County of Residence: DAVIDSON

My Commission Expires: 5-6-2013

